

2238610/643663
S PTO
08/19/03

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 3524/180

First Inventor Hausman

Title Complementary Trading of Interests

Express Mail Label No. EV330370816US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 21]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7]

5. Oath or Declaration [Total Pages]
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper
c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 C.F.R. §3.73(b) Statement Power of (when there is an assignee) Attorney

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other: _____

18. If a CONTINUATING APPLICATION, SEE APPLICATION DATA SHEET:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label

29858

or Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Brown Raysman Millstein Felder & Steiner LLP				
Address	900 Third Avenue				
City	New York	State	NY	Zip Code	10022
Country	United States	Telephone	212-895-2000	Fax	212-895-2900

Name (Print/Type)	Matthew J. Marquardt	Registration No. (Attorney/Agent)	40,997
Signature			Date
			August 19, 2003

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

08/19/03
U.S. PTO

TOTAL AMOUNT OF PAYMENT (\$ 992)

Complete if Known

Application Number	Not Yet Assigned
Filing Date	August 19, 2003
First Named Inventor	Hausman
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	3524/180

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

Deposit Account Name

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	2051	Surcharge - late filing fee or oath	
127	50	2052	Surcharge - late provisional filing fee or cover sheet.	
139	130	1053	Non-English specification	
147	2,520	1812	For filing a request for reexamination	
112	920*	1804	Requesting publication of SIR prior to Examiner action	
113	1,840*	1805	Requesting publication of SIR after Examiner action	
115	110	2251	Extension for reply within first month	
116	410	2252	Extension for reply within second month	
117	930	2253	Extension for reply within third month	
118	1,450	2254	Extension for reply within fourth month	
128	1,970	2255	Extension for reply within fifth month	
119	320	2401	Notice of Appeal	
120	320	2402	Filing a brief in support of an appeal	
121	280	2403	Request for oral hearing	
138	1,510	1451	Petition to institute a public use proceeding	
140	110	2452	Petition to revive – unavoidable	
141	1,300	2453	Petition to revive – unintentional	
142	1,300	2501	Utility issue fee (or reissue)	
143	470	2502	Design issue fee	
144	630	2503	Plant issue fee	
122	130	1460	Petitions to the Commissioner	
123	50	1807	Processing fee under 37 CFR 1.17 (q)	
126	180	1806	Submission of Information Disclosure Stmt	
581	40	8021	Recording each patent assignment per property (times number of properties)	
146	750	2809	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	750	2810	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	Request for Continued Examination -RCE	
1802	900	1802	Request for expedited examination of a design application	

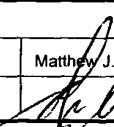
Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Matthew J. Marquardt	Registration No. Attorney/Agent)	40,997	Telephone	212-895-2906
Signature				Date	August 19, 2003